Phase 1/2a clinical trial of gene-corrected autologous cell therapy for recessive dystrophic epidermolysis bullosa

Shaundra Eichstadt, …, M. Peter Marinkovich, Jean Y. Tang


Recessive dystrophic epidermolysis bullosa (RDEB) patients have mutations in the *COL7A1* gene and thus lack functional type VII collagen (C7) protein; they have marked skin fragility and blistering. This single-center phase 1/2a open-label study evaluated the long-term efficacy, safety, and patient-reported outcomes in RDEB patients treated with gene-corrected autologous cell therapy.

Autologous keratinocytes were isolated from participant skin biopsies. Epidermal sheets were prepared from cells transduced with a retrovirus carrying the full-length human *COL7A1* gene. These gene-corrected autologous epidermal sheets measured 5 × 7 cm (35 cm²) and were transplanted onto 6 wound sites in each of 7 adult participants (*n* = 42 sites total) from 2013 to 2017. Participants were followed for 2 to 5 years.

No participants experienced any serious related adverse events. Wound healing of 50% or greater by Investigator Global Assessment was present in 95% (36 of 38) of treated wounds versus 0% (0 of 6) of untreated control wounds at 6 months (*P* < 0.0001). At year 1, 68% (26 of 38) of treated wounds had 50% or greater healing compared with 17% (1 of 6) of control wounds (*P* = 0.025). At year […]

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Phase 1/2a clinical trial of gene-corrected autologous cell therapy for recessive dystrophic epidermolysis bullosa

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Introduction

Recessive dystrophic epidermolysis bullosa (RDEB) is a rare, life-threatening bullous genodermatosis (1, 2). Genetic mutations in the COL7A1 gene lead to lack of functional type VII collagen (C7), a large triple-helical protein found beneath the lamina densa (2–4). C7 contains 2 noncollagenous domains (NC1 and NC2) and a central collagenous domain, forming anchoring fibrils (AFs) that are critical to dermal-epidermal base-ment cohesion (2, 4). Mutations in COL7A1 lead to disruptions in keratinocyte adhesion, reducing mucocu-
taneous mechanical stability (3, 5, 6). RDEB is thus characterized by skin fragility, blistering, chronic and recurrent wounds with significant pain and pruritus, frequent wound infections, as well as restrictive scarring, resulting in pseudosyndactyly (2, 7–9). Patients suffer from recurrent wounds, which heal and re-blister, as well as chronic open wounds that are present for at least 12 weeks, often for many years (10).

Current treatment is strictly palliative, consisting of extensive wound care, treatment of infections, and prevention of skin trauma. Prior studies of allografts produced only short-term healing of several weeks (10–13). No effective treatments exist as disease-modifying therapy for RDEB wounds, leaving patients predisposed to developing aggressive squamous cell carcinomas (SCCs), the most common cause of death in RDEB patients (8).

We developed autologous keratinocyte sheets expressing full-length C7 using a retroviral vector (LZRSE) containing the \( \text{COL7A1} \) gene (14). We previously reported methods and initial results for the first 4 RDEB participants treated with this therapy (6) and now present long-term follow-up results for a total of 7 RDEB participants with 42 treated wounds.

Results

Participants and treatment. Seven participants with severe generalized RDEB were enrolled, including the 4 previously reported (6), with a mean age of 28.7 years at time of treatment and with an estimated wounded body surface area range of 4%–30% (Table 1). Wounds selected for treatment had been present for a mean of 11.2 years (range: 3–20 years).

Findings following intervention. All 42 treated sites were serially monitored for wound healing, infection, pain, itch, durability, and ease of blistering. Figure 1 shows representative clinical photographs of wound healing from participant 5 (left arm) and participant 7 (back) at baseline and at months 3, 6, 12, and 24 as well as a control chronic wound from participant 5 (right arm).

### Table 1. Baseline characteristics of seven adult RDEB participants

<table>
<thead>
<tr>
<th>Participant</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
</tr>
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<tbody>
<tr>
<td>Age/Sex</td>
<td>23/M</td>
<td>19/M</td>
<td>32/M</td>
<td>18/M</td>
<td>32/F</td>
<td>32/M</td>
<td>45/F</td>
</tr>
<tr>
<td>( \text{COL7A1} ) mutation 1</td>
<td>c.90delC (exon 2)</td>
<td>c.90delC (exon 2)</td>
<td>c.6527dupC (exon 80)</td>
<td>c.8053 C&gt;T (exon 109)</td>
<td>c.4172dupC (exon 36)</td>
<td>8440 C&gt;T (exon 114)</td>
<td>c.6176A&gt;G (exon 73)</td>
</tr>
<tr>
<td>( \text{COL7A1} ) mutation 2</td>
<td>c.5048_5051dup4 (GAAA) (exon 54)</td>
<td>c.5048_5051dup4 (GAAA) (exon 54)</td>
<td>c.7465+5 C&gt;A (intron 98)</td>
<td>c.7929+ (11_26) del16 (intron 106)</td>
<td>c.4182_4188dup7 (exon 36)</td>
<td>8440 C&gt;T (exon 114)</td>
<td>c.6501G&gt;A (exon 79)</td>
</tr>
<tr>
<td>C7 expression by IF(^a)</td>
<td>Undetectable NC1; NC2</td>
<td>Undetectable NC1; NC2</td>
<td>Trace NC1; Undetectable NC2</td>
<td>Undetectable NC1; Undetectable NC1; Undetectable NC1; NC1*; Undetectable NC2</td>
<td>NC2</td>
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<td>NC1 expression by Western blot(^b)</td>
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<td>NC1'</td>
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<td></td>
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<tr>
<td>EM</td>
<td>No mature AFs; sub-LD split</td>
<td>No mature AFs; sub-LD split</td>
<td>No mature AFs; sub-LD split</td>
<td>No mature AFs; sub-LD split</td>
<td>Rudimentary AFs, Poorly formed AFs, low LH24</td>
<td>Rudimentary AFs, low LH24</td>
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<tr>
<td>Circulating C7 antibodies(^c)</td>
<td>Negative</td>
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<td>Negative</td>
<td>Negative</td>
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<td></td>
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<tr>
<td>BSA</td>
<td>8%</td>
<td>10%-15%</td>
<td>4%</td>
<td>25%-30%</td>
<td>10%</td>
<td>20%</td>
<td>10%-15%</td>
</tr>
<tr>
<td>Other RDEB symptoms</td>
<td>Corneal erosions, esophageal strictures, pseudosyndactyly, anemia</td>
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<td>Esophageal strictures, pseudosyndactyly, hip fracture, anemia</td>
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<tr>
<td>History of SCC</td>
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<td>No</td>
<td>Yes</td>
<td>No</td>
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<td></td>
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<tr>
<td>Previous allograft</td>
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<td>No</td>
<td>Yes</td>
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<td></td>
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<tr>
<td>Length of immobilization</td>
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<td>2 days</td>
<td>8 days</td>
<td>5 days</td>
<td>7 days</td>
<td>7 days</td>
<td></td>
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</tbody>
</table>

\(^a\) Assessed using the LH7.2 antibody to detect NC1 and the LH24 antibody to detect NC2. \(^b\) Skin biopsy sample evaluated using the FNC1 antibody. \(^c\) Assayed by indirect immunofluorescence using patient serum placed on monkey esophagus to detect C7 antibodies localized to dermal-epidermal junction.
Figure 2 is a summary table of Investigator Global Assessment (IGA) of wound healing of each treated site at each follow-up visit. As seen in Figures 2 and 3, at 3 and 6 months, 95% (36 of 38) of treated sites had 50% or greater healing, compared with 68% (26 of 38) at 12 months, 71% (27 of 38) at 2 years, and 80% (16 of 20) at 3 years. Similarly, treated sites demonstrated 75% or greater wound healing most reliably during the early months following treatment: 82% (31 of 38) at 3 months, 66% (25 of 38) at 6 months, 39% (15 of 38) at 12 months, 58% (22 of 38) at 2 years, and 70% (14 of 20) at 3 years.

Wound healing of 50% or greater by IGA was present in 95% (36 of 38) of treated wounds versus 0% (0 of 6) of untreated control wounds at 6 months (P < 0.0001). At year 1, 68% (26 of 38) of treated wounds had 50% or greater healing compared with 17% (1 of 6) of control wounds (P = 0.025). At year 2, 71% (27 of 38) of treated wounds had 50% or greater healing compared with 17% (1 of 6) of control wounds (P = 0.019) (Figure 3).

At 12 months, 3 out of 7 participants were positive for either NC2 or AFs, indicating restoration of C7 (Table 2). At 2 years, 2 out of 3 participants with biopsies were positive for either NC2 or AFs (one participant was positive for both AF and C7; the second participant was positive only for C7, as an additional biopsy for AF assessment was not obtained).

The NC2 domain of C7 was detected in 73% (11 of 15) of biopsies at 3 months, in 53% (8 of 15) of biopsies at 6 months, in 11% (1 of 9) of biopsies at 1 year, and 67% (2 of 3) at 2 years. AFs were seen in 67% (8 of 12) of biopsies at 3 months, in 53% (8 of 15) of biopsies at 6 months, in 43% (3 of 7) of biopsies at 1 year, and 50% (1 of 2) of biopsies at 2 years. Figure 4 shows representative immunofluorescence (IF) and immuno-electron microscopy (IEM) images from skin biopsies of treated sites on participants 4 and 6. C7 was localized by IF and by electron microscopy (EM) to ultrastructurally recognizable AFs at the epithelial basement membrane at year 1 in participant 6 and year 2 in participant 4.

Prior to treatment, participants reported pain in 53% (20 of 38) of wound sites. At treated sites with 50% or greater wound healing, participants reported pain in 0% (0 of 26) of sites at 1 year, 4% (1 of 27) at 2 years,
and 0% (0 of 16) at 3 years. Similarly, participants reported improvement of itch compared with baseline. Prior to treatment, itch was present in 61% (23 of 38) of wounds compared with 19% (5 of 26) of treated sites at 1 year, 7% (2 of 27) at 2 years, and 0% (0 of 16) at 3 years. Participants also reported improved durability of treated wounds compared with the wounds at baseline in 82% (31 of 38) at 1 year, 81% (30 of 37) at 2 years, and 70% (14 of 20) at 3 years. Similarly, blistering was reported as more difficult in 82% (31 of 38) of treated wounds at 1 year, 81% (30 of 37) at 2 years, and 75% (15 of 20) at 3 years. These outcome measures were based on participant self-report at each of the treated sites. All 7 participants reported they would undergo the treatment again and would recommend the procedure to other patients with RDEB.

**Safety.** No serious related adverse events were reported. There was no evidence of systemic immune symptoms and no development of SCC at any treated site. Replication-competent retrovirus (RCR) and cytotoxic T cell assays were negative at all time points assayed (Supplemental Table 1; supplemental material available online with this article; https://doi.org/10.1172/jci.insight.130554DS1). The most common related adverse events were infection of treated site (n = 2), pruritus around the treated site (n = 3), and pain.

![Figure 2](https://insight.jci.org俱乐)
around the treated site (n = 1) (Supplemental Table 2). Local wound infections were treated with topical or oral antibiotics; no participants developed systemic infections.

One of participant 4’s treated sites demonstrated tissue-bound IgG antibodies on direct immunofluorescence (DIF) at 3 months (6) and 2 years, suggesting a persistent localized immune response with anti-C7 antibodies. However, as we previously reported (6), this participant had transient anti-C7 circulating antibodies at months 1, 3, and 6. Circulating antibodies were not detectable thereafter, suggesting this participant’s immune response was localized rather than systemic. Additional analysis of pretreatment serum samples demonstrated low levels of anti-C7 antibodies (1:300) detected only by a more sensitive Western blot assay, and were directed against epitopes at or near the NC2 domain (ref. 6 and Supplemental Table 1). No other signs or symptoms suggestive of a systemic immune response, such as new-onset generalized blistering, occurred.

Two participants developed SCCs during the study period, one of whom had a prior history of SCC (participant 5). All tumors were located at sites distant to treated sites. Participant 5 developed 1 SCC during the study period, which was resected and found to be negative for retrovirus by PCR. Participant 6 developed 3 SCCs during the study period, including 1 metastatic to regional lymph nodes. One of participant 6’s tumors was available for analysis and was negative for retrovirus. The participants were 32 and 34 years old, respectively, at the time of their first SCC diagnosis during the study period.

**Discussion**

The long-term follow-up data from this phase 1/2a trial of 7 RDEB participants suggest that treatment of chronic open wounds with C7 gene-corrected autologous cell therapy is safe and may represent the first corrective therapy for long-standing RDEB wounds. Greater-than-50% wound healing was associated with improvement in patient-reported outcomes. Significant wound healing of greater than 50% occurred most commonly during the first 6 months following treatment, when 95% of treated sites remained at least 50% healed. Importantly, the majority of treated sites continued to remain at least 50% healed at 2 years (71%) and 3 years (80%) after treatment. Treated wounds had significantly improved rates of healing compared with untreated control wounds at 6 months, 1 year, and 2 years. Participants also reported sustained improvement at treated sites in pain, itch, durability, and a reduced ease of blistering at treated sites at all measured time points.

Evaluation of C7 molecular correction by biopsy can be challenging, and not all biopsies of treated sites were positive for the NC2 domain of C7 and/or AFs. Biopsy results could be affected by site-selection bias or driven by heterogeneous expression of C7 due to infiltration of noncorrected native epidermal stem cells that persist despite surgical preparation of the wound bed before treatment (15). Also, while many previous RDEB studies examined only the C7 NC1 domain, we focused on the NC2 domain, which is more indicative of
full-length C7 expression (6). Despite these limitations, continued expression of the NC2 domain was detected in biopsies of treated sites as late as 2 years after treatment in participants 4 and 6. Additionally, AFs were seen on EM in participants 1, 4, and 6 on year 1 biopsies and on year 2 biopsy for participant 4. Thus, durability of C7 molecular expression appeared to be an important factor in achieving durability of wound healing.

C7 expression levels of our participants’ treated sites were not quantified nor directly compared to normal human skin. However, we estimate that at 3 months, the level of C7 expression is 50% compared with normal skin based on the images presented in Figure 4 (14).

A recent paper on intradermal injections of autologous lentivirus-corrected fibroblasts in RDEB patients also describes increased expression of C7 (16); however, this was not translated into mature AF formation. One participant described subjective improvement in biopsy-site healing in that study; however, corrected fibroblasts were injected into intact skin and not chronically wounded areas. Thus, it is unclear how these injections would translate into effects on wound healing. Additional data on improvements in durability and reduced tendency to blister were not reported, as we have seen in our study. Further investigation is necessary to determine the molecular factors associated with improved wound healing with gene therapy techniques.

Wound healing was variable between participants. We speculate that this may have been due to differences in anatomic location of treated wounds (e.g., back vs. other more easily protected sites), chronicity of wounds, and baseline wound size (greater or less than 100 cm²). Participants were allowed to choose which of their eligible wounds were treated and almost all chose their most bothersome areas. For example, participant 6 chose to have his back treated; epidermal sheets on the back are harder to protect from pressure and shear friction, which may have contributed to the observed decreased wound healing at months 6 and 12 (Figure 2). However, despite a poorer wound healing outcome, biopsies of remaining areas of participant 6’s back grafts at months 6 and 12 showed very clear molecular correction including NC2 C7 expression and AF formation. This finding highlights the importance of postoperative care in promoting graft take, even with successful molecular correction.

It appears that very large, older wounds may be more challenging to heal with this technique. At 2 years, only 56% (10 of 18) of wounds that had been present for 16 years or longer achieved 50% or greater healing compared with 85% (17 of 20) of chronic open wounds that were present for less than 15 years. Furthermore, larger baseline wounds (such as those seen in participants 6 and 7) of approximately 105-cm² and 400-cm² wound beds, respectively, required that epidermal sheets be placed side by side in a quilt-like manner onto the prepared wound beds. Therefore, while limited by the small sample size, these data suggest that older wounds and larger wounds may be more challenging to heal.

In a separate but similar study, gene-corrected (LAMB3) epithelial sheets healed wounds for 3.5 years in 1 junctional EB patient. The longer reported durability may have been due to improved basement membrane binding of keratinocytes corrected with laminin 332. Increased durability may also have been due to a higher keratinocyte stem cell population (holoclones) derived from biopsies taken from the patient’s palms (17), which was not done in this phase 1/2a trial, given the significant scarring and pseudo syndactyly present in RDEB patients (18).
Topical tacrolimus was added to minimize potential development of antibodies against C7. We do not believe that this had a role in the observed improvement in wound healing. There are no reports of topical tacrolimus improving wound healing in RDEB. In fact, in clinical studies, tacrolimus has been shown to impair wound healing in normal skin (19). Therefore, improved wound healing may have been more robust had we not used tacrolimus. However, it was determined that the risk of potential impaired healing due to tacrolimus was outweighed by the benefit of minimizing development of autoantibodies, which would likely have also resulted in impairment of wound healing.

In terms of safety, gene therapy risks exposing participants to an unwanted immune reaction against the therapeutic transgene (18) and potential development of cancer through insertional mutagenesis (20). A systemic immune reaction to the gene-correction product (the NC2 domain of C7) would likely manifest as
worsening blistering at treated sites. If epitope spreading occurred and antibodies were developed against the NC1 domain as well, then diffuse blistering might occur. Fortunately, diffuse new-onset blistering was not observed in any participant.

Serum from all 7 participants was analyzed for tissue-bound antibodies against C7 and treated sites were also biopsied (as permitted by the participants). The minimum number of biopsies was obtained to reduce the potential of harm. While some participants developed trace antibody staining, only 2 participants (participants 2 and 4) developed any IgG beyond trace staining. Participant 4 was noted to have transient circulating antibodies against C7, as described previously (6), and a persistent localized immune response with positive anti-C7 antibodies was noted on DIF of skin biopsies as late as 2 years after treatment. Nevertheless, he did not have systemic worsening of blistering that would warrant concern for a systemic immune response and did not require additional treatment. From the preliminary epitope analysis reported in the previous study (6), participant 4’s antibodies were not directed against the NC1 domain (unlike most epidermolysis bullosa acquisita [EBA] patients) but instead against epitopes at or near the NC2 domain that, while present on therapeutic C7, were not likely to be present on the patient’s own endogenously produced C7. In this instance, it would not be considered an autoimmune response involving the entire body but instead an alloimmune response that only affected therapeutic C7 confined to portions of the treated sites. This may be why participant 4 did not develop EBA symptoms or increased blistering outside of the treated areas. Participant 2 also developed tissue-bound antibodies. However, these were transient and circulating antibodies were not present, so further epitope analysis was not able to be performed. Neither participant required additional treatment.

The absence of RCR in the serum at all measured time points to date for all participants, with the longest follow-up of 5 years, is reassuring. While 2 participants developed SCCs during the study period, none of the SCCs occurred at treated sites. The available tumor samples were negative for RCR, and both participants were over the age of 30 at the time they developed SCC, which is within the timeframe of heightened concern for SCC development in RDEB patients (2).

Gene-corrected autologous cell therapy (now referred to as EB-101, licensed by Abeona Inc.) demonstrated a favorable safety profile and was shown to have increased long-term healing compared with chronic RDEB wounds, which was associated with long-term C7 molecular expression. EB-101 was granted both Breakthrough Therapy and Regenerative Medicine Advanced Therapy designations by the FDA. This phase 1/2a study is now closed to enrollment and a phase 3 trial is anticipated to start in late 2019.

Methods

Study design and participants. Four participants were enrolled in the previously described phase 1 study (6). In 2016, the FDA and the Stanford IRB approved expansion to a phase 2a study, which enrolled an additional 3 adult participants. All 7 participants were followed for at least 2 and up to 5 years after treatment to date. We measured long-term safety and efficacy data as well as patient-reported outcomes at 3, 6, 9, and 12 months after treatment, and then annually for up to 5 years. Participants continued to receive standard-of-care therapies (e.g., iron supplementation, esophageal dilations) during the study.

Participants were age 18 years or older with genetic testing (GeneDx) confirming 2 COL7A1 mutations. Participants had to demonstrate C7 NC1 skin expression (NC1+, as determined by Western blot and indirect immunofluorescence [IIF] microscopy), to minimize reaction to the potentially antigenic NC1 domain (7, 21). Patients with preexisting circulating or tissue-bound antibodies against C7 on IIF were excluded. Of note, after participant 4 demonstrated anti-C7 antibodies on biopsy of a treated site, a more sensitive analysis was developed using Western blot on pretreatment serum, which demonstrated anti-C7 antibodies at baseline despite negative initial IIF studies (6). Chronic open wounds, defined as wounds present and unhealed for at least 12 weeks, with a total area of at least 100 cm2 were required for enrollment. Of 57 patients screened, 10 gave consent from 2013 to 2017. One patient died due to metastatic SCC prior to treatment, 1 patient did not meet the enrollment criteria for the study, and 1 developed a fever on the day prior to treatment, resulting in cancelation of the procedure (see Supplemental Figure 1).

Study treatment. As previously described (6), autologous keratinocytes were cultured from biopsies from nonwounded, nonscarred skin from each RDEB participant. Keratinocytes were transduced with a retrovirus containing full-length COL7A1 and cultured for approximately 25 days to form epidermal sheets. Surgical placement of 6 epidermal sheets per participant (each 5 x 7 cm) was performed under general anesthesia. During the phase 1 study (participants 1–4), 1 surgically induced wound was created and then treated (site Z) (6). During the phase 2a study (participants 5–7), only noninduced chronic open
wounds were treated. An untreated chronic open wound was identified to serve as a control wound. Topical tacrolimus was applied to treated sites for participants 5–7 for up to 1 year in an attempt to minimize potential development of antibodies against exogenous C7 (22). All participants were hospitalized postoperatively for up to 8 days to assist with immobilization of the treated sites (Table 1).

Assessments. For the phase 2a study, the primary endpoint was changed to wound healing as assessed by both IGA and with the Canfield Vectra 3D photography system (Canfield Scientific). IGA was assessed by 2 dermatologists for all wound sites, and wounds were scored as 100% to 75% healed, 74% to 50% healed, or 49% to 0% healed. We compared both methods of evaluating wound healing on the first 36 wounds that were followed for 6 months and found a high degree of correlation between Canfield and IGA (inter-class coefficient = 0.92, \( P < 0.0001 \)) (23). Participants enrolled in the phase 1 trial were evaluated by IGA only. Control wounds in the phase 2a study were evaluated at each visit by IGA and Canfield.

Secondary endpoints included molecular correction as assessed by C7 expression by IIF and presence of C7 localizing to AFs by IEM, at 3, 6, and 12 months after treatment. Additionally, patient-reported outcomes were assessed at each treated site at each study visit. Patients were asked about the presence of pain and itching during the study visit (pain: yes or no, itch: yes or no), durability of grafted areas compared to skin before grafting (more durable, no change, or less durable), and ease of blistering with trauma compared to skin before grafting (more difficult to blister, no change, or blisters easier) at each of the treated sites.

Safety was evaluated by assessing for systemic and/or local immune response, infection, development of SCC, and presence of RCR, as described previously (6). An assay for cytotoxic T cells was performed for only the first 5 participants. Localized immune response was assessed by investigator evaluation of blistering at treated sites and by representative biopsies of selected treated sites. IF and Western blot studies for circulating and immunoreactants bound to the tissue basement membrane zone (IgA, IgG, IgM, and C3) were performed to assess for systemic immune reactions. Testing was performed to evaluate both for an autoimmune reaction (i.e., antibodies against the NC1 domain) as well as an alloimmune reaction (i.e., antibodies against the gene-corrected NC2 domain). Biopsies were obtained at each study visit until 1 year after treatment, after which biopsies were optional. Adverse events were characterized using the National Cancer Institute's Common Terminology Criteria for Adverse Events version 4.0 (https://ctep.cancer.gov/protocolDevelopment/electronic_applications/ctc.htm).

Statistical analysis. Results presented are calculated from the number of treated sites assessed at each designated time point. Fisher's exact test was utilized and all \( P \) values are 2-tailed. A \( P \) value of less than 0.05 was considered significant. Statistical analysis was performed using SAS 9.4 (SAS Institute Inc.).

Study approval. Study approvals were obtained from the FDA and Stanford IRB prior to participant enrollment as described above. Written informed consent, including consent for photography, was received from participants prior to inclusion in the study. The study was conducted in accordance with the principles of the Declaration of Helsinki.

Author contributions
SE, MB, JYT, and MPM wrote the manuscript. MB, CT, JN, ESG, JYT, and MPM conducted the study and acquired data. LT, PK, RKK, LKF, HPL, MPM, and ASC provided direct patient care. SE, MB, CT, JYT, and MPM analyzed data. AP, NTN, ZS, DRK, KR, and MPM performed diagnostic studies and analysis.

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