

## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Instructions

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#### 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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### Section 1. Identifying Information

1. Given Name (First Name) Caixia	2. Surname (Last Name) Li	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
6. Manuscript Identifying Number (if you know it) 130195-INS-CMED-1		

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Are there any relevant conflicts of interest?  Yes  No

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Dr. Li has nothing to disclose.

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1. Given Name (First Name) Ying	2. Surname (Last Name) Zhang	3. Date 27-June-2019
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5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
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1. Given Name (First Name) Changfeng      2. Surname (Last Name) Zhang      3. Date 27-June-2019

4. Are you the corresponding author?     Yes     No      Corresponding Author's Name  
Depei Wu

5. Manuscript Title  
Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma

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Are there any relevant conflicts of interest?     Yes     No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
UniCar Therapy, Ltd	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	This individual is employee of UniCar Therapy, Ltd.

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1. Given Name (First Name) Jia	2. Surname (Last Name) Chen	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
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Dr. Chen has nothing to disclose.

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#### 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Xiaochen	2. Surname (Last Name) Chen	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
6. Manuscript Identifying Number (if you know it) 130195-INS-CMED-1		

### Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  Yes  No

### Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest?  Yes  No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No

## ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Chen has nothing to disclose.

### Evaluation and Feedback

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Liqing	2. Surname (Last Name) Kang	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
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Are there any relevant conflicts of interest?  Yes  No

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Are there any relevant conflicts of interest?  Yes  No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No

## ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Kang has nothing to disclose.

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Nan	2. Surname (Last Name) Xu	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
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### Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  Yes  No

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Are there any relevant conflicts of interest?  Yes  No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No

## ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Xu has nothing to disclose.

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Minghao	2. Surname (Last Name) Li	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
6. Manuscript Identifying Number (if you know it) 130195-INS-CMED-1		

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Are there any relevant conflicts of interest?  Yes  No

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Are there any relevant conflicts of interest?  Yes  No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No

## ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Li has nothing to disclose.

### Evaluation and Feedback

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Jingwen	2. Surname (Last Name) Tan	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
6. Manuscript Identifying Number (if you know it) 130195-INS-CMED-1		

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Are there any relevant conflicts of interest?  Yes  No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No

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Dr. Tan has nothing to disclose.

### Evaluation and Feedback

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Xiuli	2. Surname (Last Name) Sun	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
6. Manuscript Identifying Number (if you know it) 130195-INS-CMED-1		

### Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  Yes  No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
UniCar Therapy, Ltd	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	This individual is employee of UniCar Therapy, Ltd.

### Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest?  Yes  No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No

## ICMJE Form for Disclosure of Potential Conflicts of Interest

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### Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

- Yes, the following relationships/conditions/circumstances are present (explain below):
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### Section 6. Disclosure Statement

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Dr. Sun reports personal fees from UniCar Therapy, Ltd, during the conduct of the study;.

### Evaluation and Feedback

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Jin	2. Surname (Last Name) Zhou	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
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Dr. Zhou has nothing to disclose.

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name)

Zhen

2. Surname (Last Name)

Yang

3. Date

27-June-2019

4. Are you the corresponding author?

Yes  No

Corresponding Author's Name

Depei Wu

5. Manuscript Title

Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma

6. Manuscript Identifying Number (if you know it)

130195-INS-CMED-1

### Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  Yes  No

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Are there any relevant conflicts of interest?  Yes  No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No

## ICMJE Form for Disclosure of Potential Conflicts of Interest

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### Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Yang has nothing to disclose.

### Evaluation and Feedback

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Xiangping	2. Surname (Last Name) Zong	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
6. Manuscript Identifying Number (if you know it) 130195-INS-CMED-1		

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Are there any relevant conflicts of interest?  Yes  No

### Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest?  Yes  No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No

## ICMJE Form for Disclosure of Potential Conflicts of Interest

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### Section 6. Disclosure Statement

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Dr. Zong has nothing to disclose.

### Evaluation and Feedback

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1. Given Name (First Name) Pu	2. Surname (Last Name) Wang	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
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1. Given Name (First Name) Ting	2. Surname (Last Name) Xu	3. Date 27-June-2019
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Are there any relevant conflicts of interest?  Yes  No

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Dr. Xu has nothing to disclose.

### Evaluation and Feedback

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Changju	2. Surname (Last Name) Qu	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
6. Manuscript Identifying Number (if you know it) 130195-INS-CMED-1		

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Are there any relevant conflicts of interest?  Yes  No

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Are there any relevant conflicts of interest?  Yes  No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No

## ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Qu has nothing to disclose.

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Haiwen	2. Surname (Last Name) Huang	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
6. Manuscript Identifying Number (if you know it) 130195-INS-CMED-1		

### Section 2. The Work Under Consideration for Publication

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Huang has nothing to disclose.

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Zhengming	2. Surname (Last Name) Jin	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
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### Section 4. Intellectual Property -- Patents & Copyrights

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Jin has nothing to disclose.

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Lei	2. Surname (Last Name) Yu	3. Date 27-June-2019
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Depei Wu
5. Manuscript Title Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma		
6. Manuscript Identifying Number (if you know it) 130195-INS-CMED-1		

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name)

Depei

2. Surname (Last Name)

Wu

3. Date

27-June-2019

4. Are you the corresponding author?

Yes  No

5. Manuscript Title

Comparison of CAR-T19 and autologous stem-cell transplantation for refractory/relapsed non-Hodgkin's lymphoma

6. Manuscript Identifying Number (if you know it)

130195-INS-CMED-1

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## TREND Statement Checklist

Paper Section/ Topic	Item No	Descriptor	Reported?	
				Pg #
<b>Title and Abstract</b>				
Title and Abstract	1	• Information on how unit were allocated to interventions	Y	2
		• Structured abstract recommended	Y	2
		• Information on target population or study sample	Y	2
<b>Introduction</b>				
Background	2	• Scientific background and explanation of rationale	Y	3-4
		• Theories used in designing behavioral interventions	n/a	n/a
<b>Methods</b>				
Participants	3	• Eligibility criteria for participants, including criteria at different levels in recruitment/sampling plan (e.g., cities, clinics, subjects)	Y	13
		• Method of recruitment (e.g., referral, self-selection), including the sampling method if a systematic sampling plan was implemented	Y	13-14
		• Recruitment setting	Y	13
		• Settings and locations where the data were collected	Y	13
Interventions	4	• Details of the interventions intended for each study condition and how and when they were actually administered, specifically including:	Y	14-16
		○ Content: what was given?	Y	14-15
		○ Delivery method: how was the content given?	Y	14-15
		○ Unit of delivery: how were the subjects grouped during delivery?	Y	14
		○ Deliverer: who delivered the intervention?	Y	14
		○ Setting: where was the intervention delivered?	Y	14
		○ Exposure quantity and duration: how many sessions or episodes or events were intended to be delivered? How long were they intended to last?	Y	14
		○ Time span: how long was it intended to take to deliver the intervention to each unit?	Y	14
○ Activities to increase compliance or adherence (e.g., incentives)	n/a	n/a		
Objectives	5	• Specific objectives and hypotheses	Y	14
Outcomes	6	• Clearly defined primary and secondary outcome measures	Y	14
		• Methods used to collect data and any methods used to enhance the quality of measurements	Y	14
		• Information on validated instruments such as psychometric and biometric properties	n/a	n/a
Sample Size	7	• How sample size was determined and, when applicable, explanation of any interim analyses and stopping rules	n/a	n/a
Assignment Method	8	• Unit of assignment (the unit being assigned to study condition, e.g., individual, group, community)	Y	15-16
		• Method used to assign units to study conditions, including details of any restriction (e.g., blocking, stratification, minimization)	Y	14
		• Inclusion of aspects employed to help minimize potential bias induced due to non-randomization (e.g., matching)	n/a	n/a

## TREND Statement Checklist

Blinding (masking)	9	<ul style="list-style-type: none"> <li>Whether or not participants, those administering the interventions, and those assessing the outcomes were blinded to study condition assignment; if so, statement regarding how the blinding was accomplished and how it was assessed.</li> </ul>	n/a	n/a
Unit of Analysis	10	<ul style="list-style-type: none"> <li>Description of the smallest unit that is being analyzed to assess intervention effects (e.g., individual, group, or community)</li> </ul>	n/a	n/a
		<ul style="list-style-type: none"> <li>If the unit of analysis differs from the unit of assignment, the analytical method used to account for this (e.g., adjusting the standard error estimates by the design effect or using multilevel analysis)</li> </ul>	n/a	n/a
Statistical Methods	11	<ul style="list-style-type: none"> <li>Statistical methods used to compare study groups for primary methods outcome(s), including complex methods of correlated data</li> </ul>	Y	16
		<ul style="list-style-type: none"> <li>Statistical methods used for additional analyses, such as a subgroup analyses and adjusted analysis</li> </ul>	Y	16
		<ul style="list-style-type: none"> <li>Methods for imputing missing data, if used</li> </ul>	n/a	n/a
		<ul style="list-style-type: none"> <li>Statistical software or programs used</li> </ul>	Y	16
<b>Results</b>				
Participant flow	12	<ul style="list-style-type: none"> <li>Flow of participants through each stage of the study: enrollment, assignment, allocation, and intervention exposure, follow-up, analysis (a diagram is strongly recommended)</li> </ul>	Y	23
		<ul style="list-style-type: none"> <li> <ul style="list-style-type: none"> <li>Enrollment: the numbers of participants screened for eligibility, found to be eligible or not eligible, declined to be enrolled, and enrolled in the study</li> </ul> </li> </ul>	Y	23
		<ul style="list-style-type: none"> <li> <ul style="list-style-type: none"> <li>Assignment: the numbers of participants assigned to a study condition</li> </ul> </li> </ul>	Y	23
		<ul style="list-style-type: none"> <li> <ul style="list-style-type: none"> <li>Allocation and intervention exposure: the number of participants assigned to each study condition and the number of participants who received each intervention</li> </ul> </li> </ul>	Y	23
		<ul style="list-style-type: none"> <li> <ul style="list-style-type: none"> <li>Follow-up: the number of participants who completed the follow-up or did not complete the follow-up (i.e., lost to follow-up), by study condition</li> </ul> </li> </ul>	Y	23
		<ul style="list-style-type: none"> <li> <ul style="list-style-type: none"> <li>Analysis: the number of participants included in or excluded from the main analysis, by study condition</li> </ul> </li> </ul>	Y	23
		<ul style="list-style-type: none"> <li>Description of protocol deviations from study as planned, along with reasons</li> </ul>	n/a	n/a
Recruitment	13	<ul style="list-style-type: none"> <li>Dates defining the periods of recruitment and follow-up</li> </ul>	Y	4
Baseline Data	14	<ul style="list-style-type: none"> <li>Baseline demographic and clinical characteristics of participants in each study condition</li> </ul>	Y	4
		<ul style="list-style-type: none"> <li>Baseline characteristics for each study condition relevant to specific disease prevention research</li> </ul>	Y	4
		<ul style="list-style-type: none"> <li>Baseline comparisons of those lost to follow-up and those retained, overall and by study condition</li> </ul>	n/a	n/a
		<ul style="list-style-type: none"> <li>Comparison between study population at baseline and target population of interest</li> </ul>	Y	4
Baseline equivalence	15	<ul style="list-style-type: none"> <li>Data on study group equivalence at baseline and statistical methods used to control for baseline differences</li> </ul>	Y	4

## TREND Statement Checklist

Numbers analyzed	16	<ul style="list-style-type: none"> <li>Number of participants (denominator) included in each analysis for each study condition, particularly when the denominators change for different outcomes; statement of the results in absolute numbers when feasible</li> </ul>	Y	5-8
		<ul style="list-style-type: none"> <li>Indication of whether the analysis strategy was “intention to treat” or, if not, description of how non-compliers were treated in the analyses</li> </ul>	n/a	n/a
Outcomes and estimation	17	<ul style="list-style-type: none"> <li>For each primary and secondary outcome, a summary of results for each estimation study condition, and the estimated effect size and a confidence interval to indicate the precision</li> </ul>	Y	5-8
		<ul style="list-style-type: none"> <li>Inclusion of null and negative findings</li> </ul>	Y	6-8
		<ul style="list-style-type: none"> <li>Inclusion of results from testing pre-specified causal pathways through which the intervention was intended to operate, if any</li> </ul>	n/a	n/a
Ancillary analyses	18	<ul style="list-style-type: none"> <li>Summary of other analyses performed, including subgroup or restricted analyses, indicating which are pre-specified or exploratory</li> </ul>	Y	8-9
Adverse events	19	<ul style="list-style-type: none"> <li>Summary of all important adverse events or unintended effects in each study condition (including summary measures, effect size estimates, and confidence intervals)</li> </ul>	Y	6-8
<b>DISCUSSION</b>				
Interpretation	20	<ul style="list-style-type: none"> <li>Interpretation of the results, taking into account study hypotheses, sources of potential bias, imprecision of measures, multiplicative analyses, and other limitations or weaknesses of the study</li> </ul>	Y	10
		<ul style="list-style-type: none"> <li>Discussion of results taking into account the mechanism by which the intervention was intended to work (causal pathways) or alternative mechanisms or explanations</li> </ul>	n/a	n/a
		<ul style="list-style-type: none"> <li>Discussion of the success of and barriers to implementing the intervention, fidelity of implementation</li> </ul>	Y	12
		<ul style="list-style-type: none"> <li>Discussion of research, programmatic, or policy implications</li> </ul>	n/a	n/a
Generalizability	21	<ul style="list-style-type: none"> <li>Generalizability (external validity) of the trial findings, taking into account the study population, the characteristics of the intervention, length of follow-up, incentives, compliance rates, specific sites/settings involved in the study, and other contextual issues</li> </ul>	Y	12
Overall Evidence	22	<ul style="list-style-type: none"> <li>General interpretation of the results in the context of current evidence and current theory</li> </ul>	Y	13

From: Des Jarlais, D. C., Lyles, C., Crepaz, N., & the Trend Group (2004). Improving the reporting quality of nonrandomized evaluations of behavioral and public health interventions: The TREND statement. *American Journal of Public Health*, 94, 361-366. For more information, visit: <http://www.cdc.gov/trendstatement/>