ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

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1. Identifying information.
2. The work under consideration for publication.
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   - This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Elizabeth

2. Surname (Last Name)  
   Jaffee

3. Date  
   17-May-2018

4. Are you the corresponding author?  
   ✔ Yes   ☐ No

5. Manuscript Title  
   T Cell Receptor Repertoire Features Associated With Surgical in Immunotherapy Treated Pancreatic Ductal Adenocarcinoma

6. Manuscript Identifying Number (if you know it)  
   122092-INS-CMED

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  

Are there any relevant conflicts of interest?  
   ✔ Yes   ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

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Are there any relevant conflicts of interest?  
   ☐ Yes   ✔ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   ✔ Yes   ☐ No
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<td>Aduro Biotech</td>
<td>The Johns Hopkins University is entitled to milestone payments and royalty on sales of certain cancer vaccine products</td>
</tr>
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</table>

**PCT/US1996/020802**  
Title: Allogeneic Paracrine Cytosine Tumor Vaccines

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Dr. Jaffee reports grants from Bristol-Myers Squibb, grants from Aduro Biotech, grants from Amgen, during the conduct of the study; In addition, Dr. Jaffee has a patent PCT/US1996/020802
Title: Allogeneic Paracrine Cytosine Tumor Vaccines with royalties paid to Aduro Biotech.

**Evaluation and Feedback**

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### Section 1. Identifying Information

1. Given Name (First Name)  
   Alexander

2. Surname (Last Name)  
   Hopkins

3. Date  
   11-May-2018

4. Are you the corresponding author?  
   Yes [ ] No [x]

   Corresponding Author’s Name  
   Elizabeth Jaffee

5. Manuscript Title  
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Dr. Hopkins has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name) Dung
2. Surname (Last Name) Le
3. Date 11-May-2018

4. Are you the corresponding author? ☑ Yes ☐ No

Corresponding Author's Name
Elizabeth Jaffee

5. Manuscript Title
T Cell Receptor Repertoire Features Associated With Survival in Immunotherapy Treated Pancreatic Ductal Adenocarcinoma

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# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. **Given Name (First Name)**
   - Jennifer

2. **Surname (Last Name)**
   - Durham

3. **Date**
   - 11-May-2018

4. **Are you the corresponding author?**
   - Yes ✔

**Corresponding Author’s Name**
- Elizabeth Jaffee

5. **Manuscript Title**
   - T Cell Receptor Repertoire Features Associated With Surgical in Immunotherapy Treated Pancreatic Ductal Adenocarcinoma

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- Yes ☐
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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Harlan
2. Surname (Last Name) Robins
3. Date 11-May-2018
4. Are you the corresponding author? ✔ No
5. Manuscript Title T Cell Receptor Repertoire Features Associated With Surgical in Immunotherapy Treated Pancreatic Ductal Adenocarcinoma
6. Manuscript Identifying Number (if you know it) 122092-INS-CMED

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? ✔ No

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication. Are there any relevant conflicts of interest? ✔ Yes ✔ No If yes, please fill out the appropriate information below.

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<tr>
<td>Adaptive Biotechnologies</td>
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<td>✔</td>
<td>Employment, equity, ownership, patents and royalties.</td>
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ✔ Yes No If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.
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Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):

☐ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Robins reports personal fees and other from Adaptive Biotechnologies, outside the submitted work; In addition, Dr. Robins has a patent US Patent 9,809,813 Method of measuring adaptive immunity issued.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) 
   Eric

2. Surname (Last Name) 
   Lutz

3. Date 
   11-May-2018

4. Are you the corresponding author? 
   No ✔

5. Manuscript Title 
   T Cell Receptor Repertoire Features Associated With Surcical in Immunotherapy Treated Pancreatic Ductal Adenocarcinoma

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Dr. Lutz reports non-financial support from Adaptive Biotechnologies, during the conduct of the study.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Julie
2. Surname (Last Name)  Rytlewski
3. Date  11-May-2018
4. Are you the corresponding author?  Yes ✔ No

Corresponding Author’s Name
Elizabeth Jaffee

5. Manuscript Title
T Cell Receptor Repertoire Features Associated With Surgical in Immunotherapy Treated Pancreatic Ductal Adenocarcinoma

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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes ✔ No

Rytlewski
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Erik

2. Surname (Last Name)  
   Yusko

3. Date  
   22-May-2018

4. Are you the corresponding author?  
   Yes ☑ No  
   Corresponding Author’s Name  
   Elizabeth Jaffee

5. Manuscript Title  
   T Cell Receptor Repertoire Features Associated With Surcical in Immunotherapy Treated Pancreatic Ductal Adenocarcinoma

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Are there any relevant conflicts of interest?  
   Yes ☑ No

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Are there any relevant conflicts of interest?  
   Yes ☑ No

If yes, please fill out the appropriate information below.

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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   Yes ☑ No

Yusko  

2
Section 5. Relationships not covered above

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Definitions

Potentially influencing what you wrote in the submitted work.

Relationships not covered above.

This section allows authors to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of influencing, the content of submitted articles:

Intellectual Property

and drugs were provided by a pharmaceutical company, you need only report the pharmaceutical company you received them from. If any financial benefits were received for participation in the research (eg, honoraria for statistical analysis) and these benefits were not discussed in the manuscript, please disclose them here.

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?

Yes □ No □

Section 4: Intellectual Property - Patents & Copyrights

Are there any relevant conflicts of interest?

Yes □ No □

Section 3: Relevant Financial Activities Outside the Submitted Work.

Are there any relevant conflicts of interest?

Yes □ No □

Section 2: The Work Under Consideration for Publication

1. Author Name(s)

2. Date

3. Corresponding Author's Name

4. Are you the corresponding author?

Yes □ No □

5. Manuscript Title

6. Manuscript Number (if you know it)

12299-INS-CMEED

1. Corresponding Author's Name

2. Date

3. Corresponding Author's Name

4. Are you the corresponding author?

Yes □ No □

5. Manuscript Title

6. Manuscript Number (if you know it)

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5. Manuscript Title

6. Manuscript Number (if you know it)

1. Author Name(s)

2. Date

3. Corresponding Author's Name

4. Are you the corresponding author?

Yes □ No □

5. Manuscript Title

6. Manuscript Number (if you know it)

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Yes □ No □

5. Manuscript Title

6. Manuscript Number (if you know it)
Below, based on the above disclosure, this form will automatically generate a disclosure statement, which will appear in the box.

**Section 6. Disclosure Statement**

On occasion, journals may ask authors to disclose further information about reported relationships. At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements.

- **A** No other relationships/conditions/circumstances that present a potential conflict of interest.
- **X** Yes, the following relationships/conditions/circumstances are present (explain below):

Potentially influencing what you write in the submitted work:

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of influencing, the appearance of the relationships not covered above?
ICMJE Form for Disclosure of Potential Conflicts of Interest

**Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. **Identifying information.**

2. **The work under consideration for publication.**

   This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party — that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

3. **Relevant financial activities outside the submitted work.**

   This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

   Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work’s sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

   For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. **Intellectual Property.**

   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. **Relationships not covered above.**

   Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

**Definitions.**

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Mark

2. Surname (Last Name)  
   Yarchoan

3. Date  
   12-May-2018

4. Are you the corresponding author?  
   Yes  ✔  No

   Corresponding Author’s Name  
   Elizabeth Jaffee

5. Manuscript Title  
   T Cell Receptor Repertoire Features Associated With Surgical in Immunotherapy Treated Pancreatic Ductal Adenocarcinoma

6. Manuscript Identifying Number (if you know it)  
   122092-INS-CMED

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  
Are there any relevant conflicts of interest?  
   Yes  ✔  No

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.  
Are there any relevant conflicts of interest?  
   ✔ Yes  No

If yes, please fill out the appropriate information below.

<table>
<thead>
<tr>
<th>Name of Entity</th>
<th>Grant?</th>
<th>Personal Fees?</th>
<th>Non-Financial Support?</th>
<th>Other?</th>
<th>Comments</th>
</tr>
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<tbody>
<tr>
<td>Merck &amp; Co.</td>
<td>✔</td>
<td></td>
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<tr>
<td>Bristol-Myers Squibb</td>
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<tr>
<td>Exelixis</td>
<td>✔</td>
<td></td>
<td></td>
<td>✔</td>
<td>Advisory board (honorarium declined)</td>
</tr>
<tr>
<td>Genentech/Roche</td>
<td></td>
<td></td>
<td></td>
<td>✔</td>
<td>I am a Co-Principal Investigator of a clinical trial utilizing agents provided by Genentech/Roche</td>
</tr>
</tbody>
</table>
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☐ No

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):

☑ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Yarchoan reports grants from Merck & Co., grants from Bristol-Myers Squibb, grants and other from Exelixis, non-financial support from Genentech/Roche, outside the submitted work;

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.
CONSORT 2010 Flow Diagram

Enrollment

Assessed for eligibility (n=135)

Excluded (n=39)
- Not meeting inclusion criteria (n=30)
- Declined to participate (n=4)
- Other reasons (n=5)

Randomized (n=96)

Allocated to intervention (n=51)
- Received allocated intervention (n=51)
- Did not receive allocated intervention (n=0)

Allocation

Allocated to intervention (n=45)
- Received allocated intervention (n=42)
- Did not receive allocated intervention (n=3)

Follow-Up

Lost to follow-up (n=0)
Discontinued intervention (n=51)

Analysis

Analysed (n=51)
- Excluded from analysis (n=0)

Analysed (n=42)
- Excluded from analysis (n=0)
CONSORT 2010 Flow Diagram

Enrollment

Assessed for eligibility (n=44)

- Excluded (n=14)
  - Not meeting inclusion criteria (n=11)
  - Declined to participate (n=3)
  - Other reasons (n=0)

Randomized (n=30)

Allocation

Allocated to intervention (n=15)
- Received allocated intervention (n=15)
- Did not receive allocated intervention (n=0)

Allocated to intervention (n=15)
- Received allocated intervention (n=15)
- Did not receive allocated intervention (n=0)

Follow-Up

Lost to follow-up (n=0)
- Discontinued intervention (n=15)

Lost to follow-up (n=0)
- Discontinued intervention (n=15)

Analysis

Analysed (n=15)
- Excluded from analysis (n=0)

Analysed (n=15)
- Excluded from analysis (n=0)